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# Lyotropic Lipo-Amino-Acids: Synthesis and Structural Study

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Lyotropic lipo-amino-acids  $C_n(AA)$  where AA is one of the following amino-acids: glycine, alanine, sarcosine, serine, tyrosine, lysine, hydroxyethyl-glutamine, hydroxypropylglutamine, hydroxypentyl-glutamine and glutamic acid, and  $C_n$  is a paraffinic chain with 12 or 18 carbon atoms have been synthesized. The study by X-ray diffraction of the lipo-amino-acids in concentrated water solution and in the anhydrous state has shown the existence of two types of mesophases: lamellar and hexagonal. The respective influence of the water concentration, the nature of the amino-acid and the length of the paraffinic chain on the domain of stability of the mesophases and on the values of their structural parameters has been established.

In the framework of a general study of the properties and the relations between the structure and the properties of amphipatic lipopeptides, we have already described the synthesis,<sup>1–3</sup> the mesomorphic behavior<sup>3–4</sup> and the emulsifying properties<sup>1,2,5</sup> of lipopeptides  $C_n(AA)_p$  with a hydrophobic paraffinic chain  $C_n$  containing from 12 to 18 carbon atoms and with a hydrophilic peptidic chain  $(AA)_p$  of polysarcosine, polylysine or polyglutamic acid. We have shown that such lipopeptides exhibit mesophases in water solution<sup>3,4</sup> and good emulsifying properties.<sup>5,6</sup> The mesophases are of 3 types: lamellar, cylindrical hexagonal and body-centered cubic in the case of liposarcosine,<sup>3</sup> but only to two types: lamellar and cylindrical hexagonal in the case of lipopolylysine and lipo(glutamic acid).<sup>4</sup>

In order to establish with accuracy the influence of the nature of the amino-acid on the existence, the nature and the structural parameters of the mesophases, it was necessary to use products without any polydispersity so we undertook the synthesis and the study of lipo-amino-acids  $C_n(AA)$  of general formula



with  $n = 12$  or  $18$ ;  $\text{R}' = \text{H}$  except for sarcosine where  $\text{R}' = \text{CH}_3$  and R is the side-chain of the amino-acid.

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In the present paper, we will describe the synthesis and the mesomorphic behavior of lipo-amino-acids  $C_n(\text{AA})$  with  $n = 12$  or  $18$  and prepared with amino-acids whose name, abbreviation and formula of the side chain  $R$  are given in Table I.

## EXPERIMENTAL PART

### Materials

Fatty amines (lauric and stearic), sarcosine, glycine, alanine, serine, tyrosine, glutamic acid, lysine, di-tert-butyl-dicarbonate, dicyclohexylcarbodiimide (DCC), N-hydroxysuccinimide (NHS), amino ethanol, amino propanol and aminopentanol were all purchased from Fluka in the best grade of purity available.

Silica gel (Si 60 0.040–0.063 mm for column chromatography and Si 60 on aluminium plates for thin layer chromatography) were purchased from Merck.

Solvents were purified by classical methods.

### Methods

Benzyl glutamate (Glu-OBzl) was obtained by action of benzyl alcohol on glutamic acid.<sup>7</sup>

N-tert-butoxycarbonylamino-acids (AA-BOC) were obtained by the action of di-tert-butyl-dicarbonate with the corresponding amino-acids.<sup>8,9</sup>

*Synthesis of lipo-amino-acids  $C_n(\text{AA})$ .* The synthesis of the lipo-amino-acids was performed in 3 steps and the products obtained at each step:  $C_n(\text{AA})\text{BOC}$ ,  $C_n(\text{AA})\text{HCl}$  or  $C_n(\text{AA})\text{HBr}$ ,  $C_n(\text{AA})$  were characterized by IR: 2920, 2840, 1470 (aliphatic chain); 1660, 1550 (amide), 1645, 1175, 1145 (BOC),  $2420\text{ cm}^{-1}$  ( $>\text{NH}_2^+$ ) and TLC in 3 eluant systems.

1) The synthesis of  $C_n(\text{AA})$  with  $\text{AA} = \text{Sar, Gly, Ala, Ser, Tyr, Lys}$ , was performed as already described<sup>3,5</sup> and the results are illustrated in Table II for  $C_{12}\text{Ser}$  and  $C_{12}\text{Lys}$ .

2) The synthesis of  $C_n\text{Glu}(\text{Na}^+ \text{ or } \text{K}^+)\text{NH}_2$  was performed by reacting a methanol solution of  $C_n\text{Glu}(\text{OBzl})\text{HCl}$  with 2.2 equivalent of NaOH or KOH in aqueous

TABLE I

Name, abbreviation, and chemical formula of the side chain  $R$  of the amino-acids.

| Amino-acid               | (AA)        | Side chain: $R$   |
|--------------------------|-------------|---|
| Glycine                  | Gly         | H   |
| Alanine                  | Ala         | $\text{CH}_3$   |
| Sarcosine                | Sar         | H   |
| Serine                   | Ser         | $\text{CH}_2\text{OH}$  |
| Tyrosine                 | Tyr         | $\text{CH}_2-\text{C}_6\text{H}_4-\text{OH}$                    |
| Glutamic acid            | Glu         | $\text{CH}_2-\text{CH}_2-\text{COOH}$                           |
| N-hydroxyethylglutamine  | Gln(EtOH)   | $(\text{CH}_2)_2-\text{CO}-\text{NH}-(\text{CH}_2)_2-\text{OH}$ |
| N-hydroxypropylglutamine | Glu(PropOH) | $(\text{CH}_2)_2-\text{CO}-\text{NH}-(\text{CH}_2)_3-\text{OH}$ |
| N-hydroxypentylglutamine | Gln(PentOH) | $(\text{CH}_2)_2-\text{CO}-\text{NH}-(\text{CH}_2)_5-\text{OH}$ |
| Lysine                   |             | $(\text{CH}_2)_4-\text{NH}_2$                                   |

solution for 3 hrs at 37°C. Methanol was evaporated under vacuum. Acetone was added and the precipitate of lipo-amino-acid was filtrated, dried under vacuum, dispersed in water and lyophilised. Complete debenzylation was verified by U.V. at 258 nm (disappearance of benzene ring absorption) (Table II).

3) The synthesis of lipo(N<sup>5</sup>-hydroxyalcoyle-L-glutamine) was performed by reacting C<sub>n</sub>Glu(OBzl)BOC in solution in methanol for  $n = 12$  and in chloroform for  $n = 18$  with a large excess (10 equivalents) of amino-ethanol, amino-propanol or amino-pentanol until the absorption at 258 nm disappeared. Then the excess of amino-alcohol was extracted by water and the N protected amino-acid by diethyl ether.<sup>5</sup> At last the protecting group BOC was eliminated by the same method as for other lipo-amino-acids. Yields and characteristics of some products are given in Table II.

*Purification of the lipo-amino-acids C<sub>n</sub>(AA).* The lipo-amino-acids were purified by column chromatography on a silica gel column (150 cm × 2 cm) using as eluent a methanol solution containing 1 vol% of 34% aqueous ammonia. 0.5 g of lipo-amino-acid was purified in each run and detection was performed by UV spectroscopy at 210 nm.

The purity of the lipopeptides was checked by TLC on silicagel win 3 different types of eluents: ethanol containing 1% of acetic acid; methanol containing 2% of 37% aqueous ammonia; a mixture of 3 volumes of ethyl acetate and 2 volumes of the following mixture: acetic acid 6 V—pyridine 20 V—water 11 V; revelation was performed by ninhidrin and only one spot was observed for each lipo-amino-acid. Table II gives the R<sub>F</sub> values for some lipo-amino-acids C<sub>12</sub>(AA).

The composition and the purity of the lipo-amino-acids were also checked by <sup>1</sup>H-NMR spectroscopy using a Bruker WM 500 spectrometer operating at 500 MHz, at 22°C and with Fourier transform. Figure 1 gives an example of NMR spectra corresponding to C<sub>12</sub>Ser.

*Preparation of the mesomorphic gels.* To prepare homogeneous samples of lipo-amino-acid/water systems, the same two methods as for lipopeptides were used.<sup>3</sup>

TABLE II

Yield of synthesis and R<sub>F</sub> values in 3 eluent systems of some lipo-amino-acids.

| Product  | Yield | TLC: R <sub>F</sub> |          |          |
|--|-------|---------------------|----------|----------|
|  |       | Eluent 1            | Eluent 2 | Eluent 3 |
| C <sub>12</sub> SerBOC                               | 0.90  | 0.79                | 0.86     | 0.95     |
| C <sub>12</sub> SerHCl                               | 0.92  | 0.45                | 0.67     | 0.52     |
| C <sub>12</sub> Ser                                  | 0.90  | 0.39                | 0.70     | 0.49     |
| C <sub>12</sub> Lys(BOC)BOC                          | 0.85  | 0.82                | 0.47     | 0.63     |
| C <sub>12</sub> Lys(HBr)HBr                          | 0.88  | small               | 0.18     | 0.24     |
| C <sub>12</sub> Lys(NH <sub>2</sub> )NH <sub>2</sub> | 0.90  | 0.40                | small    | 0.15     |
| C <sub>12</sub> Glu(OBzl)BOC                         | 0.8   | 0.86                | 0.56     | 0.40     |
| C <sub>12</sub> GLu(OBzl)HCl                         | 0.92  | 0.73                | 0.79     | 0.52     |
| C <sub>12</sub> Glu(Na <sup>+</sup> )NH <sub>2</sub> | 0.95  | 0.38                | 0.79     | 0.48     |
| C <sub>12</sub> Gln(EtOH)NH <sub>2</sub>             | 0.75  | 0.40                | 0.11     | 0.43     |
| C <sub>12</sub> Gln(PropOH)NH <sub>2</sub>           | 0.72  | 0.64                | 0.09     | 0.71     |
| C <sub>12</sub> Gln(PentOH)NH <sub>2</sub>           | 0.70  | 0.62                | 0.09     | 0.62     |

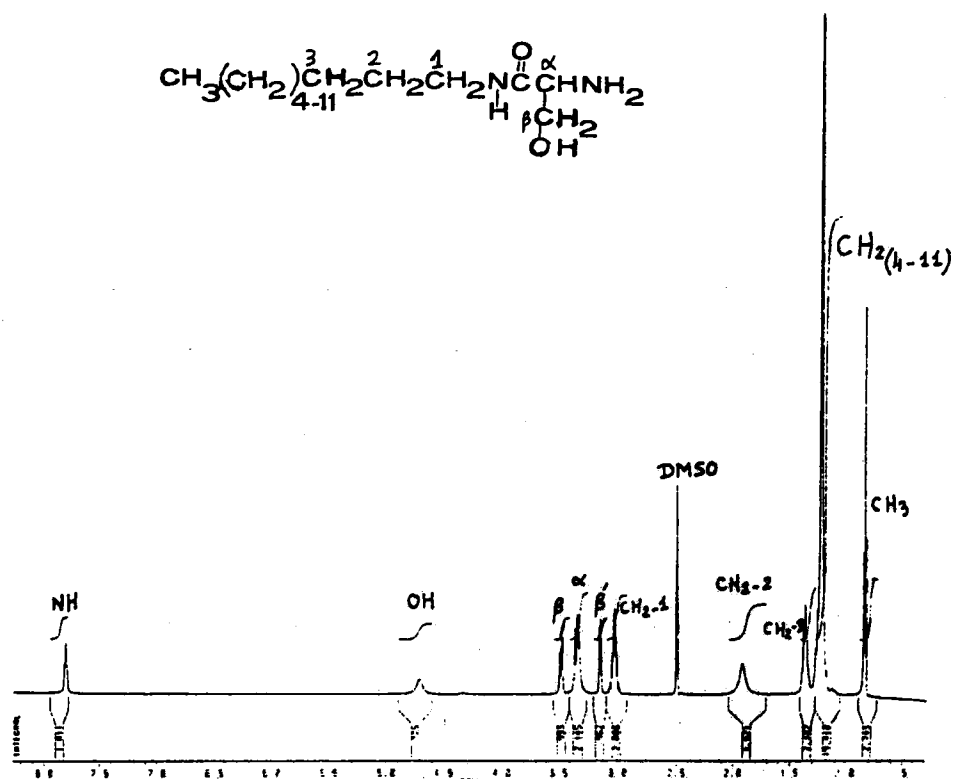


FIGURE 1  $^1\text{H}$ -NMR spectra at 500 MHz of liposerine  $\text{C}_{12}\text{Ser}$ .

The choice between the two methods was determined by the length of the paraffinic chain and the nature of the amino-acid.

*X-ray diffraction studies.* X-ray diffraction studies were performed under vacuum with a Guinier type focussing camera equipped with a bent quartz monochromator giving a linear collimation and a device recording the diffraction patterns from samples held at various temperatures with an accuracy of  $\pm 1^\circ\text{C}$ .

## RESULTS

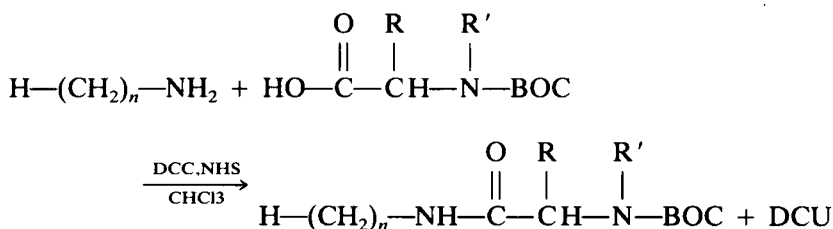
### I. Synthesis of lipo-amino-acids

The synthesis of the lipo-amino-acids is generally performed in 3 steps: protection of the  $\alpha$ -amino function of the amino-acid, coupling between the amine function of the fatty amine and the  $\alpha$  carboxylic acid function of the N-protected amino-acid, elimination of the protecting groups. But, when the amino-acid is glutamic acid, the side chain carboxylic function has to be protected by a benzyl group<sup>7</sup> before performing the protection of the  $\alpha$ -amine function.

*A. Protection of the  $\alpha$ -amine function.* The  $\alpha$ -amine function is protected by a tertibutyloxycarbonyl group (BOC) by action of the ditertibutyldicarbonate.<sup>8,9</sup> The reaction is performed in a mixture dioxane/water for glycine, sarcosine, alanine, benzylglutamate and lysine and in a mixture water/tertbutylalcohol for serine and tyrosine, in the presence of sodium hydroxide for all amino-acids except benzyl glutamate for which NaOH is replaced by NaHCO<sub>3</sub> to avoid the hydrolysis of the benzyloester. In the case of lysine the two amine functions are protected by BOC groups. The yield of the reaction varies between 75 and 85% depending upon the nature of the amino-acid.<sup>5</sup>

*B. Coupling between the fatty amine and the N-protected amino-acid.* The coupling reaction between the N-protected amino-acid and the fatty amine is performed in chloroform solution, at 0°C, in the presence of a coupling agent the dicyclohexylcarbodiimide (DCC) and of a nucleophilic agent the N-hydroxysuccinimide (NHS) to avoid secondary reactions specially with the free hydroxyl group of serine and tyrosine.<sup>10</sup>

After elimination of the dicyclohexylurea (DCU) formed, the N-protected lipo-amino-acid C<sub>n</sub>(AA)BOC is recovered by precipitation with water



The yield of the coupling reaction varies from 75 to 95%.<sup>5</sup>

*C. Liberation of the amine functions.* The lipo-amino-acid chlorhydrates C<sub>n</sub>(AA)HCl and the lipo-amino-acid bromhydrates C<sub>n</sub>(AA)HBr are obtained by action of HCl in diethylether solution or of HBr in acetic acid solution on the N-protected lipo-amino-acid in tetrahydrofuran and acetic acid solution respectively.<sup>5</sup>

The lipo-amino-acids under the free amine form C<sub>n</sub>(AA) are obtained by action of a small excess of sodium hydroxide on the corresponding lipo-amino-acid chlorhydrate or bromhydrate in methanol solution.

## II. STRUCTURAL STUDY OF LIPO-AMINO-ACIDS

Lipo-amino-acids have been studied in the anhydrous state and in concentrated water solution (less than 60% of water) by X-ray diffraction as a function of temperature.

As in the case of soaps,<sup>11</sup> two regions can be distinguished on the X-ray patterns:

—the central region (low angles) that presents a set of sharp reflexions whose Bragg distance ratios allow the determination of the structure: lamellar, hexagonal or cubic.<sup>3,11</sup>

—the external region (wide angles) that exhibits a set of sharp lines if the

paraffinic chains are crystallized and a diffuse band if the paraffinic chains are desorganized and nearly liquid.

The study of X-ray patterns has shown that lipo-amino-acids exhibit, as a function of water concentration and temperature, both crystalline and liquid-crystalline structures.

*A. Stability domain of mesophases.* The study of X-ray patterns showed that, at room temperature, all the lipo-amino-acids studied exhibit a lamellar crystalline structure and to obtain mesophases one has to heat the samples at a temperature higher than the melting temperature of the paraffinic chains. Nevertheless, lipoglycine, lipoalanine, lipotyrosine, lipobenzylglutamate, lipopropylglutamine and lipopentylglutamine go directly from the crystalline state to the liquid state without exhibiting mesophases in the anhydrous state and in concentrated solution as well. Anhydrous liposerine, liposarcosine, lipolysine and lipohydroxyethylglutamine also go directly from the crystalline state to the liquid state and necessitate the presence of a minimum amount of water (from 4 to 10%) to exhibit mesophases by heating at a temperature higher than the melting temperature of the paraffinic chains. On the contrary, lipo-amino-acid chlorhydrates or bromhydrates exhibit mesophases in concentrated solution and in the anhydrous state at temperatures higher than the melting temperatures of the paraffinic chains.

*B. Structure of mesophases.* As several lipo-amino-acids  $C_{18}(AA)$  give mesophases at temperatures higher than  $100^{\circ}\text{C}$ , we performed our structural studies mainly on lipo-amino-acids  $C_{12}(AA)$ .

X-ray diffraction showed that all lipo-amino-acids giving mesophases exhibit a liquid-crystalline lamellar structure and that some lipo-amino-acids also exhibit a hexagonal structure (Table III).

The lamellar structure (L) consists of plane parallel equidistant sheets; each sheet of thickness  $d$  results from the superposition of two layers: one of thickness  $d_B$  contains the hydrophobic paraffinic chains, while the other of thickness  $d_A$  contains the hydrophilic part of the molecules ( $\text{NH-CO-CHR-NHR}'$  or  $\text{NH-CO-CHR-NHR}'$ ,  $\text{HCl}$ ) and the water.

TABLE III  
Structure and specific volumes of lipo-amino-acids.

| Lipo-amino-acids                           | Structure | $V$<br>$\text{cm}^3 \cdot \text{g}^{-1}$ | $V_A$<br>$\text{cm}^3 \cdot \text{g}^{-1}$ |
|--|-----------|--|--|
| $C_{12}\text{Sar}$                         | L         | 1.14                                     | 0.910                                      |
| $C_{12}\text{SarHCl}$                      | L         | 1.05                                     | 0.763                                      |
| $C_{12}\text{GlyHCl}$                      | L         | 1.04                                     | 0.699                                      |
| $C_{12}\text{AlaHCl}$                      | L         | 1.04                                     | 0.746                                      |
| $C_{12}\text{Ser}$                         | L         | 1.08                                     | 0.781                                      |
| $C_{12}\text{SerHCl}$                      | L + H     | 1.00                                     | 0.685                                      |
| $C_{12}\text{Gln}(\text{EtOH})\text{NH}_2$ | L         | 1.02                                     | 0.799                                      |
| $C_{12}\text{Lys}(\text{HBr})\text{HBr}$   | L + H     | 0.805                                    | 0.555                                      |
| $C_{12}\text{Lys}(\text{NH}_2)\text{NH}_2$ | L + H     | 1.100                                    | 0.910                                      |
| $C_{18}\text{Lys}(\text{HBr})\text{HBr}$   | L + H     | 0.86                                     | 0.555                                      |

The hexagonal structure (H) consists of long and parallel cylinders of diameter  $2R$ , filled with the hydrophobic paraffinic chains, and assembled in a hexagonal array of parameter  $D$ , while the space between the cylinders is occupied by the hydrophilic part of the molecules and the water.

The lattice parameters  $d$  and  $D$  were directly obtained from the X-ray patterns. The other parameters:  $d_A$ ,  $d_B$ ,  $2R$  and  $S$  (average surface occupied by a molecule at the interface between the hydrophobic and hydrophilic domains) were calculated by the following formulae based on simple geometrical considerations.

$$d_B = d \left[ 1 + \frac{c\chi_A v_A + (1 - c)v_S}{c\chi_B v_B} \right]^{-1}$$

$$S_L = \frac{2M_B v_B}{\chi d_B}$$

$$R^2 = \frac{D^2 \sqrt{3}}{2\pi} \left[ 1 + \frac{c\chi_A v_A + (1 - c)v_S}{c\chi_B v_B} \right]^{-1}$$

$$S_H = \frac{2M_B v_B}{\chi R}$$

with:

$c$ : lipo-amino-acid content in solution (in mass)

$\chi_A$  and  $\chi_B$ : weight fraction of the hydrophilic and hydrophobic parts of the molecules

$v_A$ : specific volume of the hydrophilic moiety (Table III)<sup>12</sup>

$v_B$ : specific volume of the hydrophobic paraffinic chains<sup>3,13</sup>

$v_S$ : specific volume of the solvent

$M_B$ : molecular weight of the paraffinic chains

$\chi$ : Avogadro's number.

*C. Factors governing the structure of the mesophases.* The structural study of the mesophases has been performed at temperatures higher than the melting temperature of the paraffinic chains and when possible at 60°C. Furthermore we have verified that in the domain of temperature used both the type of mesophase and the value of their structural parameters were independent of the temperature.

The main factors governing the existence, the nature and the structural parameters of the mesophases are: the water concentration, the "electrical state" of the amino-acids, the nature of the side chain of the amino-acids and the length of the paraffinic chains.

### 1. Influence of the water concentration

For some lipo-amino-acids in the free amine form, namely liposarcosine, liposerine, lipohydroxyethylglutamine and lipolysine, the presence of a minimum amount of water (from 4 to 10%) is necessary to obtain mesophases and for 3 types of lipo-amino-acids: liposerine chlorhydrates, lipolysine and lipolysinebromhydrates the



addition of a sufficient amount of water is able to transform a lamellar structure into a cylindrical hexagonal structure.

As illustrated by Figure 2 in the case of the lipo-amino-acid  $C_{12}\text{SerHCl}$  when the water concentration increases:

—for the lamellar structure: the intersheet spacing  $d$ , the thickness  $d_A$  of the hydrophilic layer and the average surface at the interface  $S_L$  all increase, while the thickness  $d_B$  of the hydrophobic layer decreases.

—for the hexagonal structure: the distance  $D$  between the cylinders and the average surface  $S_H$  both increase, while the diameter  $2R$  of the hydrophobic cylinders decreases.

## 2. Influence of the "electric state" of the amino-acid

For amino-acids such as glycine and alanine that are too hydrophobic to give mesophases the transformation of the  $\alpha$ -amine into a chlorhydrate increases the hydrophilicity of the amino-acids and allows the formation of mesophases.

For amino-acids such as sarcosine, serine, hydroxyethylglutamine and lysine that are hydrophilic enough to allow the formation of mesophases both in the amine state and in the chlorhydrate or bromhydrate state, the transformation of the amine form into the chlorhydrate or bromhydrate form involves an anisotropic swelling of the hydrophilic domains.

The Figures 3 and 4 illustrate the effect of the transformation of the amine function into chlorhydrate or bromhydrate for the lamellar structure of liposerines and the lamellar and hexagonal structures of lipolysines whose parameters are plotted as a function of the water content  $C_1$  of the hydrophilic domains.

One can see that the transformation of the amine functions into chlorhydrates or bromhydrates involves a decrease of the characteristic parameters of the hydrophobic domains ( $d_B$  for the lamellar structure and  $2R$  for the hexagonal structure) but an increase of all the other parameters:  $d$ ,  $d_A$ ,  $D$  and  $S$ . Furthermore the swelling of the hydrophilic domains is anisotropic: the dilatation in the direction perpendicular to the interface between the hydrophilic and hydrophobic domains is much higher than the dilatation in the plane of the interface; for the lamellar structure  $d_A$  increases much more than  $S$ . For instance, in the case of liposerines, for  $C_1 = 0.4$ ,  $d_A$  increases of  $3 \text{ \AA}$  and  $S$  only of  $1.6 \text{ \AA}^2$ .<sup>2</sup> An isotropic swelling would correspond to  $\Delta(d_A) = \sqrt{\Delta S}$ , that is to say to an increase of  $1.26 \text{ \AA}$  instead of  $3 \text{ \AA}$  for  $d_A$ .

## 3. Influence of the nature of the side-chain

We have studied the influence of the nature of the amino-acid side chain on the lamellar structure of two sets of amino-acids: the first one on the free amine form, the second one on the chlorhydrate form.

a) The first set consists of two lipo-amino-acids whose amino-acid side chain is terminated by an OH group but differs by its length:  $\text{CH}_2\text{OH}$  for serine and  $(\text{CH}_2)_2\text{-CO-NH-(CH}_2)_2\text{-OH}$  for hydroxyethylglutamine.

On the Figures 5 and 6 are plotted the variation of the structural parameters of the two lipo-amino-acids versus the water content  $C_1$  of the hydrophilic domains.

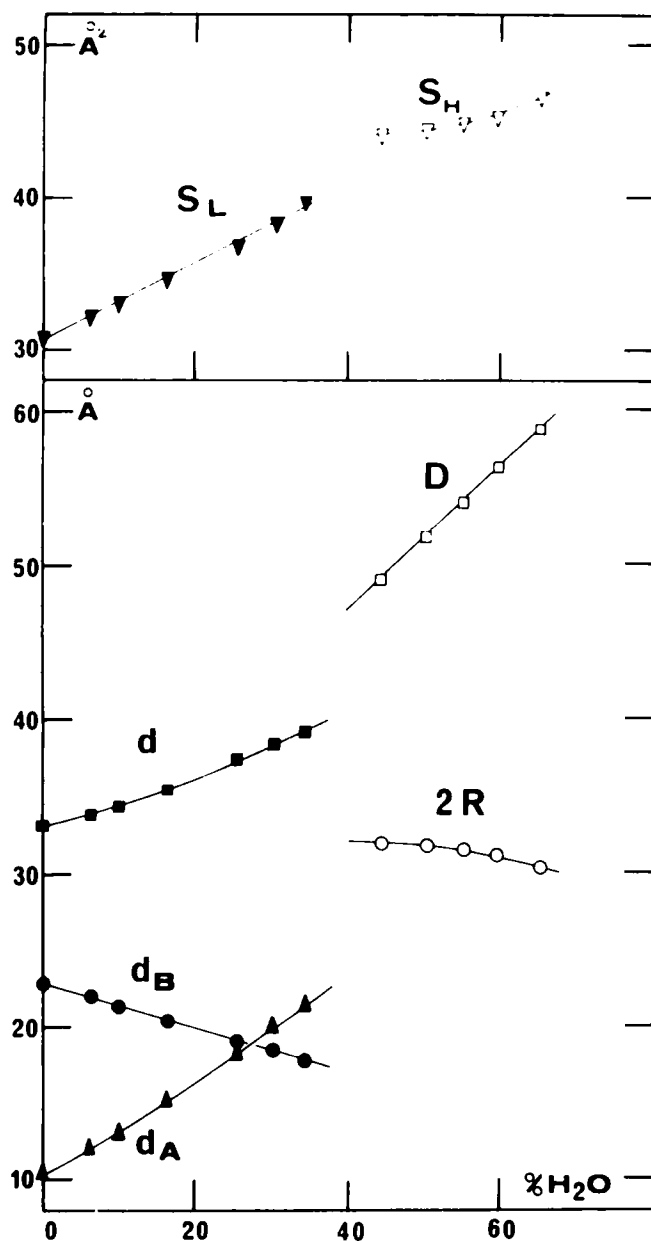


FIGURE 2 Variation of the structural parameters of the lamellar and hexagonal structure of the liposerinechlorhydrate  $C_{12}SerHCl$  versus water concentration:  $\blacksquare$   $d$  = intersheet spacing;  $\bullet$   $d_B$  = thickness of the hydrophobic layer;  $\blacktriangle$   $d_A$  = thickness of the hydrophilic layer;  $\nabla$   $S_L$  = average surface area per molecule in the lamellar structure;  $\square$   $D$  = distance between neighboring cylinders;  $\circ$   $2R$  = diameter of the hydrophobic cylinders;  $\nabla$   $S_H$  = average surface area per molecule in the hexagonal structure.

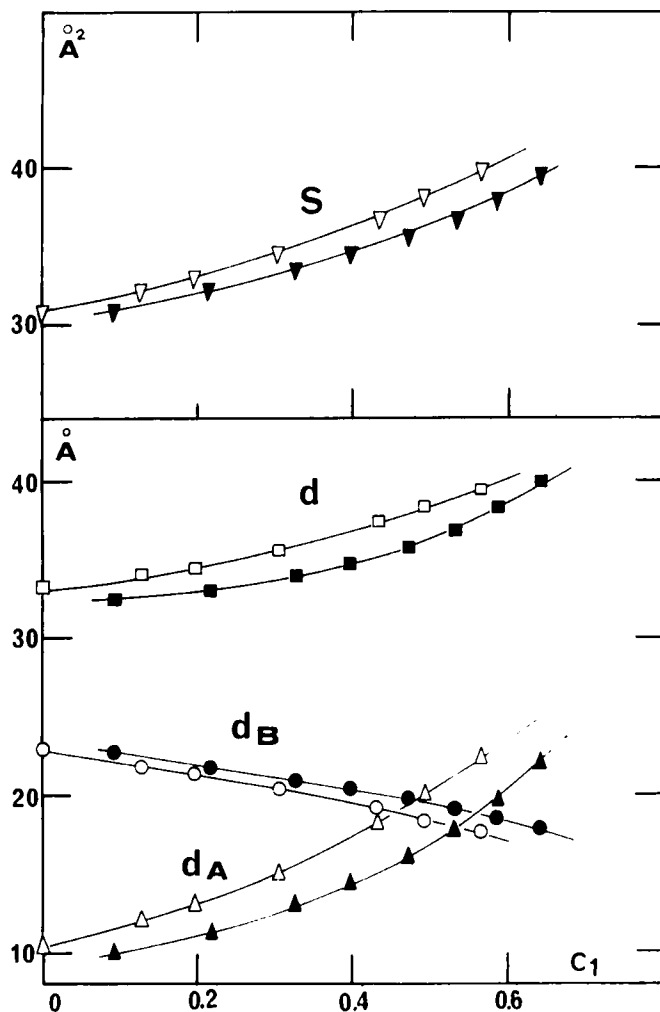


FIGURE 3 Variation of the structural parameters of the lamellar structure of liposerine  $C_{12}Ser$  and liposerine chlorhydrate  $C_{12}SerHCl$  versus the water content  $C_1$  of the hydrophilic domains:  $C_{12}Ser$ : ■  $d$ ; ▲  $d_A$ ; ●  $d_B$ ; ▼  $S_L$ ,  $C_{12}SerHCl$ : □  $d$ ; △  $d_A$ ; ○  $d_B$ ; ▽  $S_L$ .

One can see that going from liposerine to lipohydroxyethylglutamine induces an increase of  $S$  but a decrease of  $d_B$  as the density of the paraffinic chains has to remain constant. Furthermore, when  $C_1$  increases  $S$  and  $d_B$  tend towards common limits for the two lipo-amino-acids. On the contrary, one observes a large increase of  $d_A$  going from liposerine to lipohydroxyethylglutamine. So the swelling of the hydrophilic layer is anisotropic and the anisotropy increases with  $C_1$  as illustrated by the Table IV. For an isotropic swelling  $d_A$  would increase as the square root of  $S$ , therefore of 2.1 Å instead of 6.8 Å for  $C_1 = 0.2$  and of 1.3 Å instead of 12.8 Å for  $C_1 = 0.5$ .

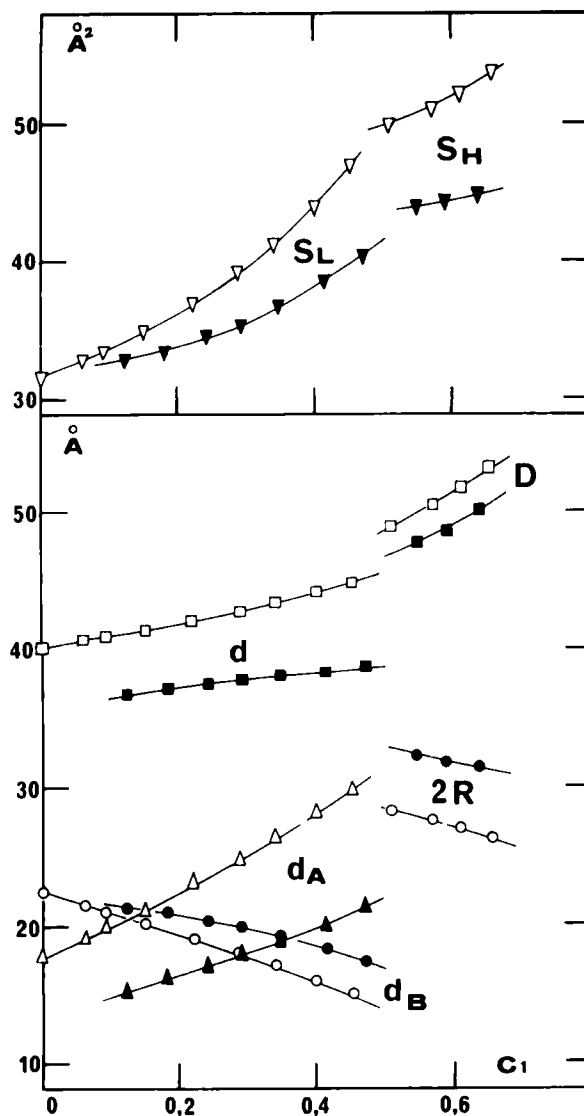


FIGURE 4 Variation of the structural parameters of the lamellar and hexagonal structure of liposine  $C_{12}Lys(NH_2)NH_2$  and liposinebromhydrate  $C_{12}Lys(HBr)HBr$  versus the water content  $C_1$  of the hydrophilic domains:  $C_{12}Lys(HBr)HBr$ :  $\square$   $d$ ;  $\triangle$   $d_A$ ;  $\circ$   $d_B$ ;  $\nabla$   $S_L$ ;  $\square$   $D$ ;  $\circ$   $2R$ ;  $\nabla$   $S_H$ ,  $C_{12}Lys(NH_2)NH_2$ :  $\blacksquare$   $d$ ;  $\blacktriangle$   $d_A$ ;  $\bullet$   $d_B$ ;  $\blacktriangledown$   $S_L$ ;  $\blacksquare$   $D$ ;  $\bullet$   $2R$ ;  $\blacktriangledown$   $S_H$ .

Such a behavior suggests that the side chain of hydroxyethylglutamine is more or less perpendicular to the interface.

b) The second set consists of 4 lipo-amino-acids: lipoglycine, lipoalanine, liposarcosine and liposerine on their chlorhydrate form.

On the Figures 7 to 9 are plotted the variations of the structural parameters of the lamellar structure of the 4 lipo-amino-acid chlorhydrates as a function of the water content  $C_1$  of the hydrophilic layers.

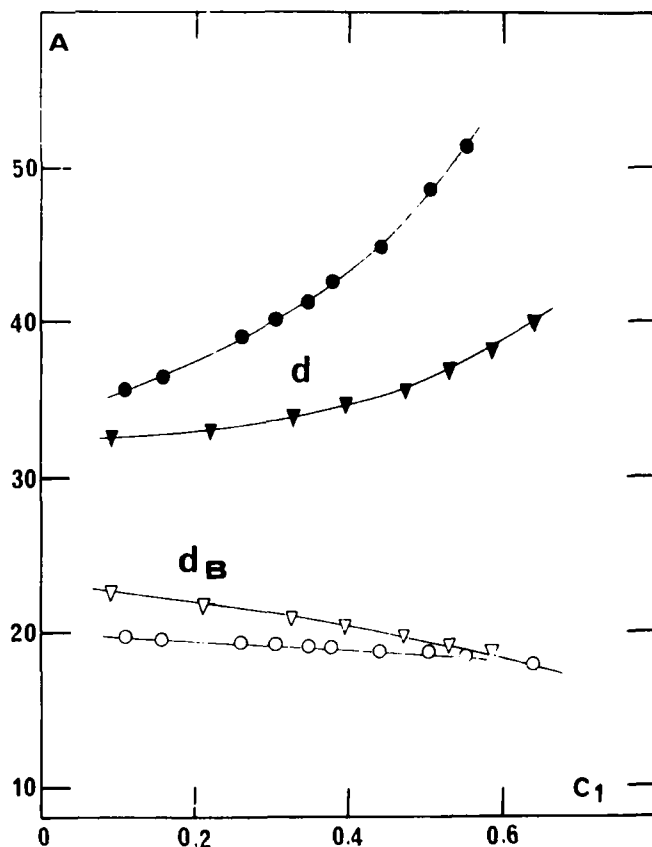


FIGURE 5 Variation of the intersheet spacings  $d$  and of the thickness  $d_B$  of the hydrophobic layer of the lamellar structure of the liposerine  $C_{12}\text{Ser}$  and of the lipohydroxyethylglutamine  $C_{12}\text{Gln(EtOH)}$  versus the water content  $c_1$  of the hydrophilic domains:  $C_{12}\text{Ser}$ :  $d$   $\blacktriangledown$ ;  $d_B$   $\nabla$ ,  $C_{12}\text{Gln(RtOH)}$ :  $d$   $\bullet$ ;  $d_B$   $\circ$ .

One can see that for any water content  $C_1$ ,  $d_A$  is nearly the same for the 4 amino-acid chlorhydrates (Figure 7) while  $S$  decreases (Figure 8) from  $C_{12}\text{SerHCl}$  to  $C_{12}\text{AlaHCl}$  to  $C_{12}\text{SarHCl}$  and to  $C_{12}\text{GlyHCl}$ .

The size of the amino-acid side chain decreases in the same order ( $\text{CH}_2\text{OH} > \text{CH}_3 > \text{H}$ ). So we can think that the side-chain takes an orientation more or less parallel to the interface between the hydrophilic and hydrophobic domains. This interpretation involves that when the size of the side chain increases the distance between the lipo-amino-acid molecules increases and the average area  $S$  increases. This behavior is in agreement with experimental results (Figure 8). For  $C_{12}\text{SarHCl}$ , the methyl group is linked to the terminal nitrogen atom of the amino-acid and is situated at a distance from the interface higher than in the case of the methyl group of alanine (linked to the  $\alpha$ -carbon atom), so its influence on the average area  $S$  is smaller and can explain why  $S$  is smaller for  $C_{12}\text{SarHCl}$  than for  $C_{12}\text{AlaHCl}$ .

The increase of  $S$  with the volume of the side chain involves a decrease of  $d_B$  as the paraffinic chains have to keep a constant density.

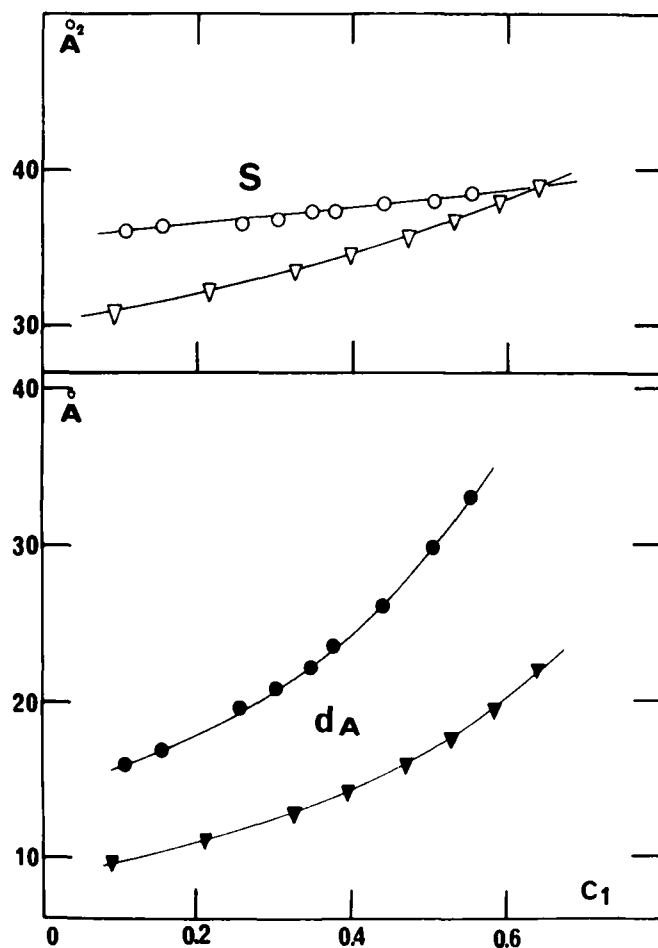


FIGURE 6 Variation of the thickness  $d_A$  of the hydrophilic layer and of the average surface per molecule  $S_L$  of the lamellar structure of the liposerine  $C_{12}\text{Ser}$  and of the lipohydroxyethylglutamine  $C_{12}\text{Gln}(\text{EtOH})$  versus the water content  $C_1$  of the hydrophilic domains:  $C_{12}\text{Ser}$ :  $d_A$   $\blacktriangledown$ ;  $S_L$   $\circ$ ,  $C_{12}\text{Gln}(\text{EtOH})$ :  $d_A$   $\bullet$ ;  $S_L$   $\circ$ .

TABLE IV  
 $d_A$ ,  $\Delta d_A$  and  $\sqrt{\Delta S}$  in  $\text{\AA}$ .  $S$  and  $\Delta S$  in  $\text{\AA}^2$ .

| Lipo-amino-acid                            | $C_1 = 0.2$ |              |      |            |                   | $C_1 = 0.5$ |              |      |            |                   |
|--|-------------|--------------|------|------------|-------------------|-------------|--------------|------|------------|-------------------|
|  | $d_A$       | $\Delta d_A$ | $S$  | $\Delta S$ | $\sqrt{\Delta S}$ | $d_A$       | $\Delta d_A$ | $S$  | $\Delta S$ | $\sqrt{\Delta S}$ |
| $C_{12}\text{Ser}$                         | 11          | 6.8          | 32   | 4.5        | 2.1               | 16.8        | 12.8         | 36.2 | 1.8        | 1.3               |
| $C_{12}\text{Gln}(\text{EtOH})\text{NH}_2$ | 17.8        |              | 36.5 |            |                   | 29.6        |              | 38.0 |            |                   |

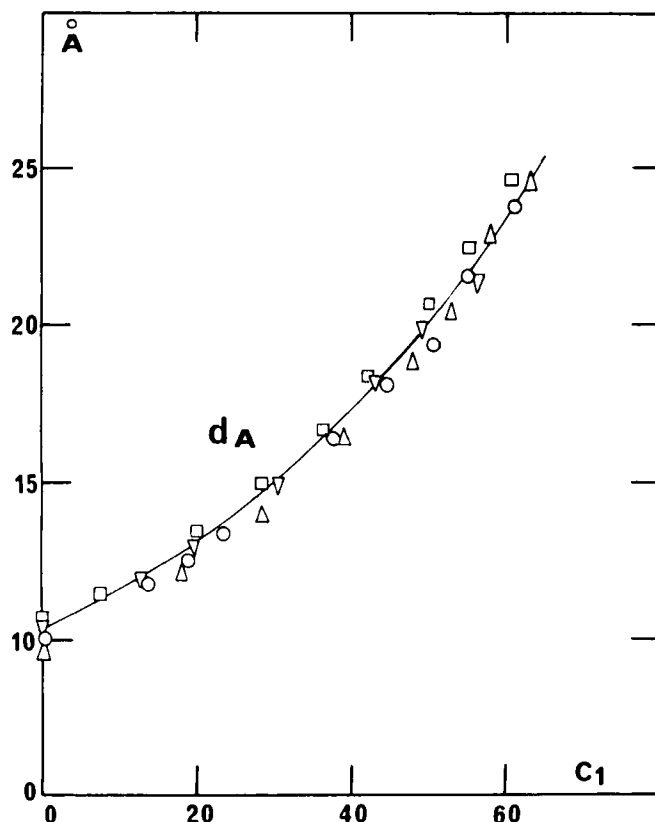
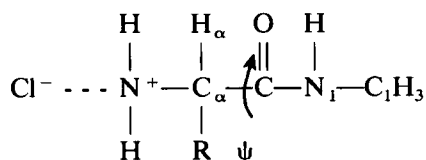


FIGURE 7 Variation of the thickness  $d_A$  of the lamellar structure of lipoglycine-chlorhydrate  $C_{12}GlyHCl$  ( $\Delta$ ), liposarcosinechlorhydrate  $C_{12}SarHCl$  ( $\square$ ), lipoalaninechlorhydrate  $C_{12}AlaHCl$  ( $\circ$ ) and liposerinechlorhydrate  $C_{12}SerHCl$  ( $\nabla$ ) versus the water content  $C_1$  of the hydrophilic domains.

At last as  $d_A$  remains nearly constant and as  $d_B$  decreases when the size of the side chain increases  $d$  decreases in a way parallel to  $d_B$  with the nature of the amino-acid (Figure 9).

We have tried to calculate  $S$  and  $d_A$  for anhydrous lipo-amino-acid chlorhydrates using simple geometrical models of the amino-acid residues and a program of molecular graphism<sup>14</sup> without taking into account possible interactions.

The model is based on the standard geometry of the amino-acids: the distance  $N-Cl$  is taken equal to  $2.5 \text{ \AA}$  and ion chloride is placed on the axis  $C_\alpha-N$  and the volume of the paraffinic chain is simulated by a methyl group  $C_1H_3$ . The volume of the amino-acid residue depends upon its configuration therefore of the value of the angle of rotation around  $NC_\alpha-CN_1$



$\alpha$ ) Calculation of the surface  $S$

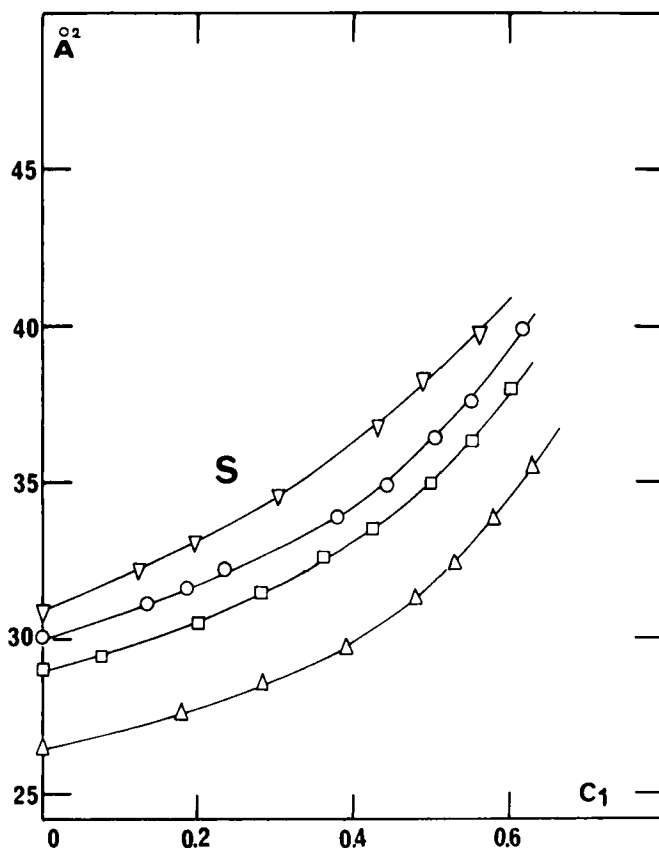


FIGURE 8 Variation of the average area per molecule  $S_L$  for the lamellar structure of lipoglycine-chlorhydrate  $C_{12}GlyHCl$  ( $\Delta$ ), liposarcosinechlorhydrate  $C_{12}SarHCl$  ( $\square$ ), lipoalaninechlorhydrate  $C_{12}AlaHCl$  ( $\circ$ ) and liposerinechlorhydrate  $C_{12}SerHCl$  ( $\nabla$ ) versus the water content  $C_1$  of the hydrophilic domains.

In order to calculate  $S$  the direction  $C_\alpha \rightarrow C_1$  was taken as the  $Z$  axis; the volumic envelope of the molecule was drawn and sections perpendicular to  $Z$  axis were made at the level of  $C_1$ ,  $N_1$  and  $C_\alpha$  using as values of the Van der Waals radius:  $H = 1.2 \text{ \AA}$ ,  $O = 1.52 \text{ \AA}$ ,  $C = 1.70 \text{ \AA}$ ,  $N = 1.55 \text{ \AA}$ ,  $Cl = 1.81 \text{ \AA}$ <sup>15</sup> and giving to  $\psi$  the 3 following values  $0^\circ$ ,  $120^\circ$  and  $240^\circ$ . In the case of  $C_{12}SerHCl$  two other parameters were considered the rotation angles  $\chi_1$  around the  $C_\alpha-C_\beta$  bond and  $\chi_2$  around the  $C_\beta-O_\gamma$  bond; a lot of pairs of values were given to  $\chi_1$  and  $\chi_2$  and it was found that if  $\chi_1 = 180^\circ$ , for any values of  $\psi$  and  $\chi_2$ ,  $S$  varies only between  $30.5$  and  $31.1 \text{ \AA}^2$  in good agreement with the experimental result ( $31 \text{ \AA}^2$ ); so  $\chi_1 = 180^\circ$  was adopted and the results of the calculations were summed up in Table V and compared with the experimental values.

The examination of Table V shows that the calculated values are in good agreement with the experimental ones except in the case of  $C_{12}SarHCl$  for which only the value calculated with  $\psi = 0^\circ$  is similar to the experimental value. This fact may be attributed to the presence of the bulky methyl group on the terminal nitrogen atom that would be able to favor the conformation with  $\psi = 0^\circ$ .



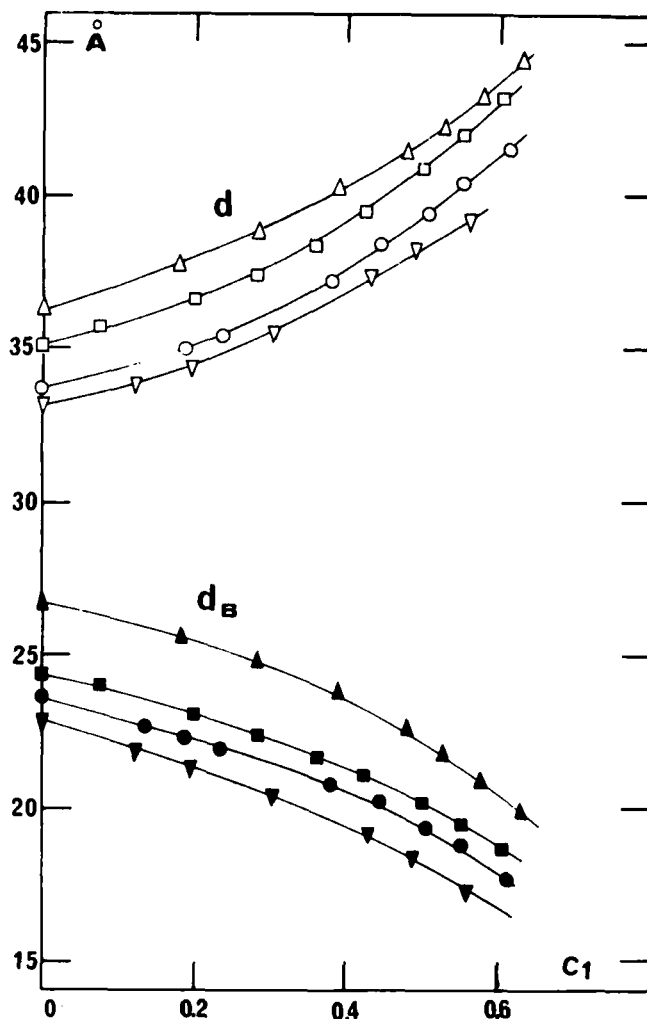


FIGURE 9 Variation of the intersheet spacing  $d$  and of the thickness  $d_B$  of the hydrophobic layer of the lamellar structure of 4 lipopeptide chlorhydrates versus the water content  $c_1$  of the hydrophilic domains: C<sub>12</sub>GlyHCl:  $d$   $\Delta$ ;  $d_B$   $\blacktriangle$ , C<sub>12</sub>SarHCl:  $d$   $\square$ ;  $d_B$   $\blacksquare$ , C<sub>12</sub>AlaHCl:  $d$   $\circ$ ;  $d_B$   $\bullet$ , C<sub>12</sub>SerHCl:  $d$   $\nabla$ ;  $d_B$   $\blacktriangledown$ .

### β) Calculation of the thickness $d_A$

Calculations were performed for 3 values of  $\psi(0^\circ, +120^\circ \text{ and } -120^\circ)$  taking in account that the distance  $\text{Cl}^- - \text{N}^+$  is equal to  $2.5 \text{ \AA}$ , the ion  $\text{Cl}^-$  is on the axis  $\text{C}_\alpha - \text{N}$ , the distance  $\text{C}_\alpha - \text{C}_1$  is constant and equal to  $3.75 \text{ \AA}$  as generally admitted and that the interface has been placed in the middle of the bond  $\text{C}_1 - \text{N}_1$  what implies that half the length of the distance  $\text{C}_1\text{N}_1$  ( $1.47 \text{ \AA}$ ) has to be subtracted from the distance  $\text{C}_1 - \text{Cl}^-$  to calculate  $d_A$ . Furthermore, in order to minimize the energy and stabilize the structure, one can position the amino-acid residues in such a way

TABLE V  
Calculated and experimental values of  $S$  in  $\text{\AA}^2$ .

|                       | Section       | $C_{12}\text{GlyHCl}$ | $C_{12}\text{AlaHCl}$ | $C_{12}\text{SerHCl}$ | $C_{12}\text{SarHCl}$ |
|-----------------------|---------------|-----------------------|-----------------------|-----------------------|-----------------------|
| $0^\circ$             | $C_1$         | 23.086                | 23.560                | 24.941                | 24.154                |
|                       | $N_1$         | 26.144                | 27.213                | 27.956                | 26.020                |
|                       | C             | 32.064                | 41.072                | 43.167                | 34.145                |
|                       | average value | 27.098                | 30.613                | 32.021                | 28.106                |
| $120^\circ$           | $C_1$         | 24.189                | 24.967                | 25.155                | 23.830                |
|                       | $N_1$         | 25.544                | 25.080                | 31.074                | 25.470                |
|                       | C             | 30.132                | 35.586                | 36.869                | 29.232                |
|                       | average value | 26.621                | 28.544                | 31.032                | 26.177                |
| $240^\circ$           | $C_1$         | 24.189                | 25.382                | 24.587                | 24.750                |
|                       | $N_1$         | 25.752                | 26.961                | 30.076                | 24.373                |
|                       | C             | 27.957                | 34.855                | 36.332                | 29.267                |
|                       | average value | 25.966                | 29.066                | 30.331                | 26.136                |
| General average value |               | 26.560                | 29.408                | 31.128                | 26.80                 |
| Experimental value    |               | 26.5                  | 30.0                  | 31.0                  | 29.0                  |

that a  $\text{Cl}^-$  interacts with a  $\text{NH}_3^+$  of a neighboring molecule as indicated on the scheme:

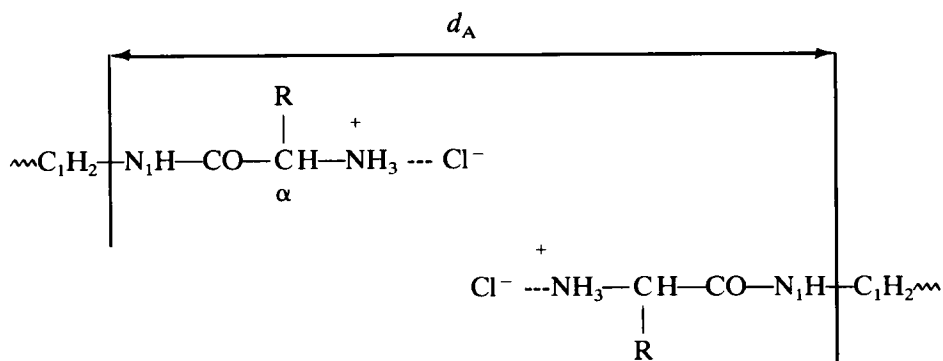


TABLE VI  
Calculated and experimental values of the thickness  $d_A$  of the hydrophilic layer.

| $\Psi$ ( $^\circ$ ) | Distances en $\text{\AA}$ |                   |              |                |
|---------------------|---------------------------|-------------------|--------------|----------------|
|                     | $N-C_1$                   | $C_1-\text{Cl}^-$ | $d_A$ (cal.) | $d_A$ (exp)    |
| 0                   | 4.50                      | 6.38              | 9.79         | $10.2 \pm 0.5$ |
| + 120               | 4.87                      | 7.10              | 10.23        |                |
| - 120               | 4.87                      | 7.10              | 10.23        |                |

As can be seen in Table VI, the calculated values of  $d_A$  vary between 9.79 and 10.23 Å and are in good agreement with the experimental value. So the calculations support the proposed model and allow to define the respective positions of the amino-acid residues in the hydrophilic layer.

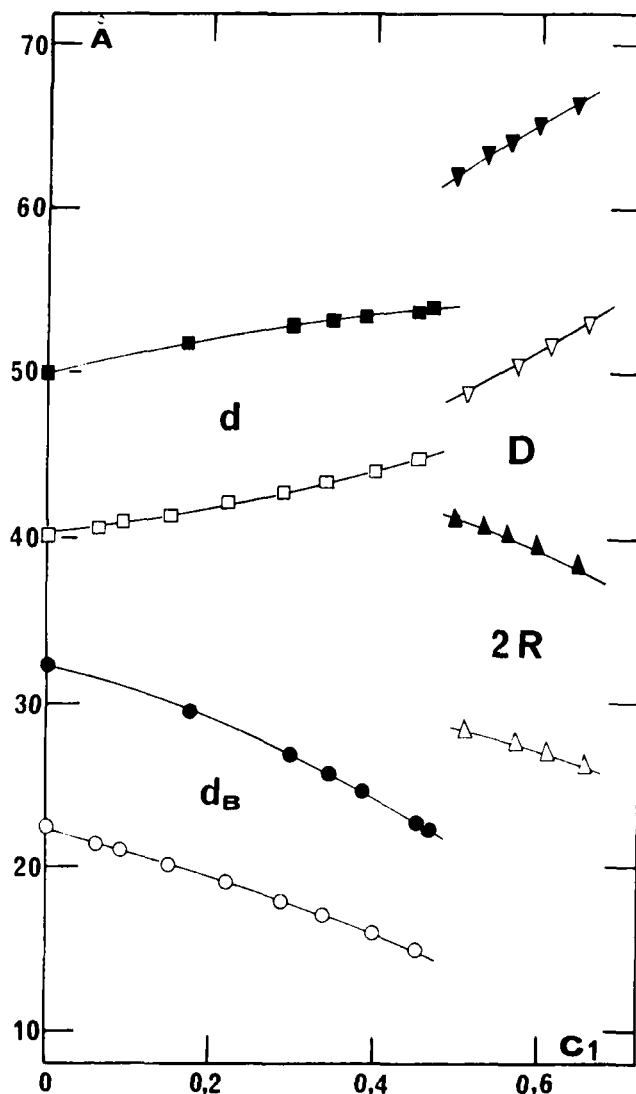


FIGURE 10 Variation of the geometrical parameters; intersheet spacing  $d$ , thickness of the hydrophobic layer  $d_B$ , distance between neighboring cylinders  $D$  and diameter of the hydrophobic cylinders  $2R$  for two lipolysinebromhydrates  $C_{12}\text{Lys(HBr)HBr}$  and  $C_{18}\text{Lys(HBr)HBr}$  versus the water content  $C_1$  of the hydrophilic domains:  $C_{12}\text{Lys(HBr)HBr}$ :  $d$  □;  $d_B$  ○;  $D$  ▽;  $2R$  △,  $C_{18}\text{Lys(HBr)HBr}$ :  $d$  ■;  $d_B$  ●;  $D$  ▴;  $2R$  ▲.

### 5. Influence of the length of the lipidic chain

In order to establish the influence of the length of the lipidic chains on the geometrical parameters of the liquid-crystalline structures we have performed a comparative study of the lipolysinebromhydrates  $C_{12}\text{Lys}(\text{HBr})\text{HBr}$  and  $C_{18}\text{Lys}(\text{HBr})\text{HBr}$  that both exhibit lamellar and hexagonal structures.

On the Figures 10 and 11 the variation of the geometrical parameters of the lamellar and hexagonal structures of the two lipolysinebromhydrates are plotted as a function of the water content  $C_1$  of the hydrophilic domains.

One can see that going from the lipo-amino-acid with a paraffinic chain containing 12 carbon atoms to the lipo-amino-acid with a paraffinic chain containing 18 carbon atoms  $d$ ,  $d_B$ ,  $D$  and  $2R$  increase (Figure 10), while  $d_A$ ,  $S_L$  and  $S_H$  remain constant (Figure 11).

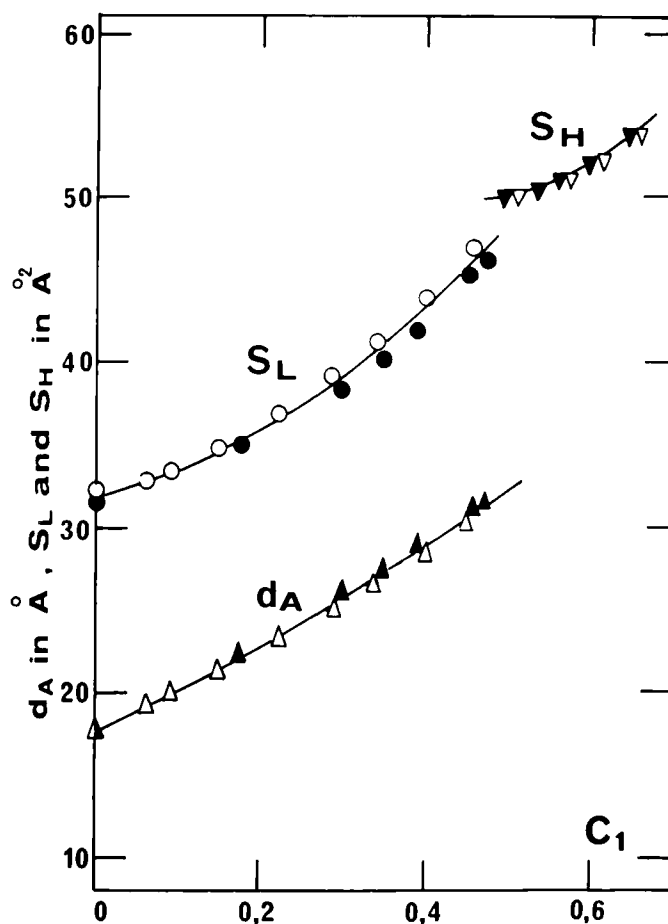


FIGURE 11 Variation of the geometrical parameters: thickness  $d_A$  (Å) of the hydrophilic layer, area per molecule  $S_L$  (Å²) and  $S_H$  (Å²) for two lipolysinebromhydrates  $C_{12}\text{Lys}(\text{HBr})\text{HBr}$  and  $C_{18}\text{Lys}(\text{HBr})\text{HBr}$  versus the water content  $C_1$  of the hydrophilic domains:  $C_{12}\text{Lys}(\text{HBr})\text{HBr}$ :  $d_A$   $\Delta$ ;  $S_L$   $\circ$ ;  $S_H$   $\nabla$ ,  $C_{18}\text{Lys}(\text{HBr})\text{HBr}$ :  $d_A$   $\blacktriangle$ ;  $S_L$   $\bullet$ ;  $S_H$   $\blacktriangledown$ .

It is not surprising that when the length of the paraffinic chain increases the characteristic parameters of the paraffinic domains:  $d_B$  for the lamellar structure and  $2R$  for the hexagonal structure increase. Furthermore, as the nature of the amino-acid residue is the same for the two lipo-amino-acids one can understand that  $d_A$ ,  $S_L$  and  $S_H$  remain constant.

The characteristic parameters of the hydrophilic domains of the lamellar and hexagonal structures of lipolysinebromhydrates are independent of the length of the paraffinic chains as already observed for the lamellar structure of lipo-amino-sarcosine in the free amine form.<sup>3</sup>

## CONCLUDING REMARKS

The synthesis of lipo-amino-acids by coupling between fatty amines and N-protected amino-acids has allowed the study by X-ray diffraction of the mesomorphic behavior of amphipatic lipo-amino-acids. The nature of the mesophases, their domain of stability and the values of their geometrical parameters have been related with the water concentration, the nature of the amino-acids and the length of the paraffinic chains. It has been shown that the existence of mesophases requires a minimum of hydrophilicity for the amino-acids. When the side-chain of the amino-acid is not hydrophilic enough, the transformation of the  $\alpha$ -amino function of the amino-acid into chlorhydrate or bromhydrate can provide the increase of hydrophilicity necessary to obtain the formation of mesophases. When the side-chain of the amino-acid is hydrophilic enough to give raise to mesophases the transformation of the  $\alpha$ -amine function of the amino-acid into chlorhydrate or bromhydrate leads to an anisotropic swelling of the hydrophilic domains characterized by a higher dilatation in the direction perpendicular to the interface than in the plane of the interface.

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